



12/18 Receipt #3  
3606  
Attorney Docket No.: 11657-003001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Gastineau, et al      Art Unit : Unknown  
Serial No. : 09/536,258      Examiner : Unknown  
Filed : March 27, 2000  
Title : HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER  
PORTFOLIO BASKET PRODUCTS

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

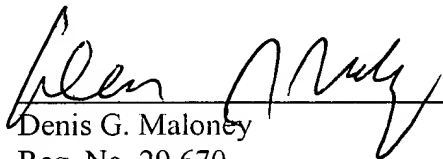
Please correct the Filing Receipt for the above-referenced application to include the name and city/state of the second inventor, Clifford Weber, Denville, New Jersey;

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12/4/02

  
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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 4, 2002  
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Washington, DC 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/536,258	03/27/2000	3628	1518	11657-003001	7	20	4

CONFIRMATION NO. 3646

REPLACEMENT FILING RECEIPT



\*OC000000009107831\*

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Date Mailed: 11/14/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Gary L. Gastineau, Short Hills, NJ;  
Clifford Weber, Denville, NJ

*Inventor (Clifford Weber) - missing*

DOCKETED BY PRACTICE SYSTEMS	
Action Code	<i>corr OFR</i>
Base Date	<i>11-14-02</i>
Due Date	<i>12-14-02</i>
Deadline	
Initials	<i>PEC</i> On

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 06/15/2000**

**Projected Publication Date:** None, application is not eligible for pre-grant publication

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Hedging exchange traded mutual funds or other portfolio basket products

**Preliminary Class**

705

**RECEIVED**  
NOV 18 2002  
FISH & RICHARDSON, P.C.  
BOSTON OFFICE

Docketed By Billing Secretary	
Due Date:	<i>12-14-02</i>
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Initials:	<i>mrc</i>